

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>09857-058001</b>	Application No. <b>09/903,390</b>
	Applicant <b>Dean Furbush et al.</b>		
	Filing Date <b>July 9, 2001</b>	Group Art Unit <b>3691</b>	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
<b>NJ</b>	AA	5297031	March 1994	Guterman et al.			
<b>NJ</b>	AB	5297032	March 1994	Trojan et al.			
	AC	6195647	February 2001	Martyn et al.			
	AD	6272474	August 2001	Garcia			
	AE	6278982	August 2001	Korhammer et al.			
	AF	6408282	June 2002	Buist			
	AG	6536935	March 2003	Parunak et al.			
	AH	6629082	September 2003	Hambrecht et al.			
	AI	6631356	October 2003	Van Horn et al.			
<b>NJ</b>	AJ	7020632	March 2006	Kohls et al.			
	AK	2002/0082967	June 2002	Kaminsky et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
<b>NJ</b>	AL	WO 91/14231	<del>Sep.</del>	1991-09				
<b>NJ</b>	AM	WO 98/13778	<del>Apr.</del>	1998-04				
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
<b>NJ</b>	AQ	Securities and Exchange Commission, Proposed Rule Change, NASD, Inc., Feb. 5, 1999, 61 pps..
<b>NJ</b>	AR	"Nasdaq Workstation II", Nasdaq Stock Market, Inc., May 1999, pp. 1-17, XP002171886 (www.nasdaqtrader.com/trader/tradingservices/products/service6/userguides/- userguides.stm).
<b>NJ</b>	AS	"Self Regulatory Organizations: Notice of Filing of Proposed Rule Change by the National Association of Securities Dealers, Inc.", Federal Register--Securities and Exchange Commission, vol. 64, No. 47, Mar. 11, 1999, pp. 12198-12202, XP002171885 (198.17.75.65/tril/).

Examiner Signature <b>N. Sub</b>	Date Considered <b>2/14/08</b>
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Change(s) applied  
to document,  
/M.I.G./  
8/6/2012